

05-25-01

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UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No. **1999U019D1.US**
 Inventors **David H. McConville and Richard R. Schrock**
 Title **Method of Polymerization**
 Express Mail Label No. **EL715559360US**

JCS91 U.S. PTO 09/864756

05/24/01

APPLICATION ELEMENTS

ADDRESS TO: **Assistant Commissioner for Patent**
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages **-37-**
 (preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications (if any)
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) Total Sheets **-0-**
4. ☒ Oath or Declaration Total Pages **-4-**
 a. ☐ Newly executed (original or copy)
 b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
 (for continuation/division with Box 15 completed)
 [Note Box 5 below]
 i. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting
 inventor(s) named in the prior application,
 see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4B is checked)
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
 (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
14. ☐ Other: _____

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior applications No: 09/312,878, from which priority is claimed under 35 U.S.C. § 120

Prior application information: Examiner Ling-Siu Choi Group Art Unit 1713

16. If a NONPROVISIONAL APPLICATION based upon a foreign or U.S. provisional application, priority is claimed from applications

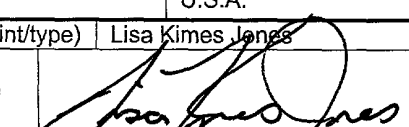
No. _____, country _____, filed on _____.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Univation Technologies L.L.C.				
Address	5555 San Felipe, Suite 1950				
City	Houston	State	Texas	Zip Code	77056
Country	U.S.A.	Telephone	(713) 892-3729	Fax	(713) 892-3687
Name (print/type)	Lisa Kimes Jones			Registration No. (Attorney/Agent)	41,878
Signature				Date	May 24, 2001

FEE TRANSMITTAL

Note: Effective November 10, 1998.
Patent fees are subject to annual revision.

Complete if Known

Application Number	New Application
Filing Date	May 24, 1999
Inventors	David H. McConville and Richard R. Schrock
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	1999U019D1.US

Total Amount of Payment (\$)**842.00**

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **50-0589**

Deposit Account Name: **Univation Technologies, LLC**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee Description	Fee \$	Fee Paid
101 710	Utility filing fee		\$710.00
106 320	Design filing fee		
107 490	Plant filing fee		
108 710	Reissue filing fee		
114 150	Provisional filing fee		
SUBTOTAL (1)			\$710.00

2. CLAIMS

	Extra	Fee from below	Fee Paid
Total Claims 23 - 20 = 3 x 18 =			\$54.00
Independent Claims 4 - 3 = 4 x 78 =			\$78.00
Multiple Dependent Claims 0 x 260 =			\$0.00

Large Entity

Fee Code	Fee\$	Fee Description	
103	18	Claims in excess of 20	
102	80	Independent claims in excess of 3	
104	270	Multiple dependent claim	
109	80	Reissue independent claims over original patent	
110	18	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			\$132.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing or cover sheet.	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	390	Extension for reply within second month	
117	890	Extension for reply within third month	
118	1,390	Extension for reply within fourth month	
128	1,890	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,240	Petition to review - unintentional	
142	1,240	Utility issue fee (or reissue)	
143	440	Design issue fee	
144	600	Plant issue fee	
122	50	Petition to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of information Disclosure Stmt.	
581	40	Recording each patent assignment per property (times number of properties)	
146	710	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	For each additional invention to be examiner (37 CFR 1.129(b))	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

Typed or Printed Name **Lisa Kimes Jones**

Reg. No. **41,878**

Signature 

Date **May 24, 2001**

Deposit Account User ID